



JANSSON, SHUPE & MUNGER, LTD.

INTELLECTUAL PROPERTY LAW

MAIN PLACE - 245 MAIN STREET  
RACINE, WISCONSIN 53403

262/632-6900  
FAX: 262/632-2257  
EMAIL: janlaw@janlaw.com

PETER N. JANSSON\*  
BOB E. MUNGER\*  
EDWARD R. ANTARAMIAN  
JAMES P. DELANEY\*  
RICHARD W. WHITE  
JOHN W. BAIN\*\*  
LARRY L. SHUPE (OF COUNSEL)  
\*ALSO ADMITTED IN ILLINOIS  
\*\*ALSO ADMITTED IN DISTRICT OF COLUMBIA

January 15, 2004

Mail Stop Application Processing Division  
Customer Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Subject: Inventors: Hans Kragl**  
**Title: Coupling Device for Optically Coupling an Optical Waveguide to an**  
**Electro-Optical Element**  
**Serial No. 10/608,922**  
**Filed: June 27, 2003**  
**Attorney Docket No.: KS-4316US**

Dear Sir:

We have reviewed the Filing Receipt (copy enclosed) for the above-entitled patent application and have noticed an error with respect to the title specifically, the title on correspondence from the Office includes the expression, "ELECRO-OPTICAL". Please change this expression to read --ELECTRO-OPTICAL--. Thank you for your assistance.

Very truly yours,

John W. Bain

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: MAIL STOP APP. PROCESSING COMMISSIONER FOR PATENTS, ALEXANDRIA, VA 22313-1450 on January 15, 2004.

Name Sharon Janus Date 1/15/04  
Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/608,922	06/27/2003	2874	375	KS-4316US	16	17	3

24314  
JANSSON, SHUPE & MUNGER, LTD  
245 MAIN STREET  
RACINE, WI 53403

RECEIVED

OCT 07 2003

JANSSON, SHUPE &amp; MUNGER, LTD.

CONFIRMATION NO. 7023

## FILING RECEIPT



\*OC000000010981057\*



Date Mailed: 10/03/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hans Kragl, Diekhofen, GERMANY;

## Domestic Priority data as claimed by applicant

This application is a CON of PCT/EP01/15153 12/20/2001

## Foreign Applications

GERMANY 100 65 624.2 12/29/2000

If Required, Foreign Filing License Granted: 10/03/2003

Projected Publication Date: 01/15/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Coupling device for optically coupling an optical waveguide to an electro-optical element

Preliminary Class

*Electro*

↓

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).